

FORM PTO-1390 (REV 11-98)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 47-138
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 091581286 Unassigned
INTERNATIONAL APPLICATION NO. PCT/AU98/01023	INTERNATIONAL FILING DATE 10 December 1998	PRIORITY DATE CLAIMED 10 December 1997

TITLE OF INVENTION **P. GINGIVALIS POLYPEPTIDES AND NUCLEOTIDES** *Pct*

APPLICANT(S) FOR DO/EO/US **ROSS et al**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. To 16. Below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information.

07/06/2000 PVOLPE 00000139 09581286

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130.00 DP

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.53) <b>Unassigned</b>	INTERNATIONAL APPLICATION NO. <b>PCT/AU98/01023</b>	ATTORNEY'S DOCKET NUMBER <b>47-138</b>
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17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):</b> -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$970.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$840.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO.....\$690.00 -- International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$670.00 -- International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$96.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div> <div style="display: flex; justify-content: flex-end; align-items: center;"> <div style="margin-right: 20px;"> <input type="checkbox"/> 20 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).  <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).         </div> <div style="border: 1px solid black; padding: 2px;">           \$ 0.00         </div> </div> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:15%;">CLAIMS</th> <th style="width:15%;">NUMBER FILED</th> <th style="width:15%;">NUMBER EXTRA</th> <th style="width:15%;">RATE</th> <th style="width:15%;"></th> <th style="width:15%;"></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>20</td> <td>-20 =</td> <td>0</td> <td>X</td> <td>\$18.00</td> </tr> <tr> <td>Independent Claims</td> <td>3</td> <td>-3 =</td> <td>0</td> <td>X</td> <td>\$78.00</td> </tr> <tr> <td colspan="4"></td> <td></td> <td>\$260.00</td> </tr> <tr> <td colspan="4"><b>MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</b></td> <td></td> <td></td> </tr> <tr> <td colspan="4"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td></td> <td><b>\$ 130.00</b></td> </tr> </tbody> </table> <div style="display: flex; justify-content: space-between;"> <div>Reduction by 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28).</div> <div style="border: 1px solid black; padding: 2px;">0.00</div> </div> <div style="display: flex; justify-content: flex-end; align-items: center;"> <div><b>SUBTOTAL =</b></div> <div style="border: 1px solid black; padding: 2px;">\$ 130.00</div> </div> <div style="display: flex; justify-content: space-between;"> <div>Processing fee of \$130.00, for furnishing the English Translation later than 20 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).</div> <div style="border: 1px solid black; padding: 2px;">0.00</div> </div> <div style="display: flex; justify-content: flex-end; align-items: center;"> <div><b>TOTAL NATIONAL FEE =</b></div> <div style="border: 1px solid black; padding: 2px;">\$ 130.00</div> </div> <div style="display: flex; justify-content: space-between;"> <div>Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property</div> <div style="border: 1px solid black; padding: 2px;">\$ 40.00</div> </div> <div style="display: flex; justify-content: space-between;"> <div>Fee for Petition to Revive Unintentionally Abandoned Application (\$1210.00 - Small Entity = \$605.00)</div> <div style="border: 1px solid black; padding: 2px;">\$ 0.00</div> </div> <div style="display: flex; justify-content: flex-end; align-items: center;"> <div><b>TOTAL FEES ENCLOSED =</b></div> <div style="border: 1px solid black; padding: 2px;">\$ 170.00</div> </div> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:70%;"></td> <td style="width:10%;">Amount to be: refunded</td> <td style="width:10%; text-align: center;">\$</td> <td style="width:10%;"></td> </tr> <tr> <td></td> <td>Charged</td> <td style="text-align: center;">\$</td> <td></td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total Claims	20	-20 =	0	X	\$18.00	Independent Claims	3	-3 =	0	X	\$78.00						\$260.00	<b>MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</b>						<b>TOTAL OF ABOVE CALCULATIONS =</b>					<b>\$ 130.00</b>		Amount to be: refunded	\$			Charged	\$		<b>CALCULATIONS</b> PTO USE ONLY
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a. ☒ A check in the amount of \$170.00 to cover the above fees is enclosed.  
 b. ☐ Please charge my Deposit Account No. 14-1140 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this form is enclosed.  
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.  
 d. ☐ The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.

**NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

**SEND ALL CORRESPONDENCE TO:**  
 NIXON & VANDERHYE P.C.  
 1100 North Glebe Road, 8<sup>th</sup> Floor  
 Arlington, Virginia 22201  
 Telephone: (703) 816-4000

SIGNATURE  
**Leonard C. Mitchard**  
 NAME

**29,009**  
 REGISTRATION NUMBER

**June 28, 2000**  
 Date